

Sheaf theoretic characterization of topological étale groupoids

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Motivation

	as étale space	as functor
Sheaves (on X)	$E \rightarrow X$	$X_{top}^{op} \rightarrow \mathbf{Set}$
Étale groupoids (on \mathcal{G}_0)	$\mathcal{G}_1 \begin{matrix} \xrightarrow{s} \\ \rightrightarrows \\ \xleftarrow{t} \end{matrix} \mathcal{G}_0$?

⋮

we obtain some (trivial) ideas:

- “sheafifications of pre-groupoids”
- “enriched étale groupoids”

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Étale groupoids (on \mathcal{G}_0)	$\mathcal{G}_1 \begin{matrix} \xrightarrow{s} \\ \rightrightarrows \\ \xleftarrow{t} \end{matrix} \mathcal{G}_0$	pseudogroup sheaves

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1904(Cartan) a pseudogroup is defined

1932(Whitehead) the term “pseudogroup” appears

Definition 0.1

A *pseudogroup* on a topological space X is a subgroupoid of the set of homeo. between open sets of X , satisfying *the sheaf property*.

1958(Haefliger) from pseudogroups via étale groupoids

1998(Lawson) abstract pseudogroups are equivalent to étale groupoids

2007(Resende) étale groupoids are equivalent to quantales

Definition 0.2

An *abstract pseudogroup* is a complete and infinitely distributive inverse semigroup.

↔ This is not sheaf theoretical!

§1 topological étale groupoids

Recall, a *groupoid* is a category such that any morphism is an isomorphism. A *topological groupoid* is a groupoid $\mathcal{G} = (\mathcal{G}_0, \mathcal{G}_1, s, t, i, inv, comp)$ such that the set of objects \mathcal{G}_0 and the set of morphisms \mathcal{G}_1 are **topological spaces**, and that the structure maps (i.e. source map s , target map t , identities map i , inversion map inv and composition map $comp$) are **continuous**.

A topological groupoid is *étale* if the source map and the target map are **local homeomorphisms**.

Let X be a topological space. A topological groupoid **on X** is a topological groupoid $\mathcal{G}_1 \rightrightarrows \mathcal{G}_0$ such that $\mathcal{G}_0 = X$.

sheaves associated with topological étale groupoids

Let X be a topological space. $X_{top} \stackrel{\text{def}}{=} \{U \subset X : \text{open}\}$.

$x \rightarrow y \stackrel{\text{def}}{\Leftrightarrow} \mathcal{N}(y) \subset \mathcal{N}(x)$ where $\mathcal{N}(x) \stackrel{\text{def}}{=} \{U \in X_{top} | x \in U\}$.

Let \mathcal{G} be a topological étale groupoid on X .

$\hat{\mathcal{G}}(U, V) \stackrel{\text{def}}{=} \{f : U \rightarrow t^{-1}(V) | s \circ f = id\}$ for $U, V \in X_{top}$.

$\hat{\mathcal{G}}$ is a small category with including X_{top} (i.e. $X_{top} \subset \hat{\mathcal{G}}$) as a subcategory.

$\hat{\mathcal{G}}_x(V) \stackrel{\text{def}}{=} \lim_{\substack{\longrightarrow \\ U \ni x}} \hat{\mathcal{G}}(-, V)$ for $V \in X_{top}$ $x \in X$.

$\hat{\mathcal{G}}_x^y \stackrel{\text{def}}{=} \lim_{\substack{\longleftarrow \\ V \ni y}} \hat{\mathcal{G}}_x(V)$ for $x, y \in X$.

Propositoin 1.1

The above $\hat{\mathcal{G}}$ satisfies the following:

- (1) $Ob(X_{top}) = Ob(\hat{\mathcal{G}})$.
- (2.1) the natural projections $\hat{\mathcal{G}}_x^y \rightarrow \hat{\mathcal{G}}_x(V)$ are injections.
- (2.2) $\coprod_{y \in V} \hat{\mathcal{G}}_x^y \rightarrow \hat{\mathcal{G}}_x(V)$ is surjection.
- (2.3) $y \rightarrow z \Rightarrow \hat{\mathcal{G}}_x^y \subset \hat{\mathcal{G}}_x^z$.
 $f_x \in \hat{\mathcal{G}}_x^y \Leftrightarrow f(x) \rightarrow y$ for any $f_x \in \hat{\mathcal{G}}_x(V)$.
- (3) the presheaf $\hat{\mathcal{G}}(-, V)$ is a sheaf for each $V \in X_{top}$.

Remark 1.2

If X is a T_1 space (i.e. $x \rightarrow y \Rightarrow x = y$), all together the above conditions (2.1), (2.2) and (2.3) equivalent to the following:

- (2) $\hat{\mathcal{G}}_x(V) \cong \prod_{y \in V} \hat{\mathcal{G}}_x^y$ for $V \in X_{top}$ and $x \in X$, where the canonical projections $\hat{\mathcal{G}}_x^y \rightarrow \hat{\mathcal{G}}_x(V)$ are identified with the canonical injections $\hat{\mathcal{G}}_x^y \rightarrow \prod_{y \in V} \hat{\mathcal{G}}_x^y$.

§2 pseudogroup sheaves

Suppose that \mathcal{C} is a small category such that $X_{top} \subset \mathcal{C}$, $Ob(X_{top}) = Ob(\mathcal{C})$. Then $\mathcal{C}(-, V) : X_{top}^{op} \subset \mathcal{C}^{op} \rightarrow \mathbf{Set}$ is a presheaf on X for $V \in X_{top}$.

$$\mathcal{C}_x(V) \stackrel{\text{def}}{=} \lim_{\substack{\longrightarrow \\ U \ni x}} \mathcal{C}(-, V) \text{ for } V \in X_{top} \text{ } x \in X.$$

$$\mathcal{C}_x^y \stackrel{\text{def}}{=} \lim_{\substack{\longleftarrow \\ V \ni y}} \mathcal{C}_x(V) \text{ for } x, y \in X.$$

The composition map of the category \mathcal{C} induce $\mathcal{C}_y^z \times \mathcal{C}_x^y \rightarrow \mathcal{C}_x^z$.

Then, we can define the category \mathcal{C}^* by $Ob(\mathcal{C}^*) \stackrel{\text{def}}{=} X$ and $\mathcal{C}^*(x, y) \stackrel{\text{def}}{=} \mathcal{C}_x^y$.

Definition 2.1 (Y.)

Let X be a T_1 space. A *pre-pseudogroup* on X is a small category \mathcal{C} with an embedding functor $X_{top} \subset \mathcal{C}$ satisfying the following:

(1) $Ob(X_{top}) = Ob(\mathcal{C})$.

(2) $\mathcal{C}_x(V) \cong \coprod_{y \in V} \mathcal{C}_x^y$ for $V \in X_{top}$ and $x \in X$, where the canonical projections $\mathcal{C}_x^y \rightarrow \mathcal{C}_x(V)$ are identified with the canonical injections $\mathcal{C}_x^y \rightarrow \coprod_{y \in V} \mathcal{C}_x^y$.

(4) the category \mathcal{C}^* is a groupoid.

A *pseudogroup sheaf* on X is a pre-pseudogroup on X satisfying the following:

(3) the presheaf $\mathcal{C}(-, V)$ is a sheaf for each $V \in X_{top}$.

Example 2.2

Let $\text{Homeo}_X^l(U, V) \stackrel{\text{def}}{=} \{f : U \rightarrow V : \text{local homeo.}\}$ for $U, V \in X_{\text{top}}$. Homeo_X^l satisfies the above conditions (1), (3) and (4). If X is T_1 , then Homeo_X^l is a pseudogroup sheaf on X .

Example 2.3

Let \mathcal{F} be a sheaf of group on X . We define \mathcal{C} as

$$\mathcal{C}(U, V) \stackrel{\text{def}}{=} \begin{cases} \mathcal{F}(U) & (U \subset V) \\ \emptyset & (U \not\subset V). \end{cases}$$

Then \mathcal{C} is a pseudogroup sheaf on X .

underlying maps

Let X be a T_1 space, and let \mathcal{C} be a pre-pseudogroup on X . For $f \in \mathcal{C}(U, V)$, define $\bar{f} : U \rightarrow V$ in the following:

Take any point $x \in U$.

Then the germ f_x of f at x belong to $\mathcal{C}_x(V) \cong \coprod_{y \in V} \mathcal{C}_x^y$.

So there exists exactly one point $y \in V$ such that $f_x \in \mathcal{C}_x^y$.

Define $\bar{f}(x) \stackrel{\text{def}}{=} y$.

Remark 2.4

$\overline{g \circ f} = \bar{g} \circ \bar{f}$ and $\bar{id} = id$.

Call \bar{f} the *underlying map* of f .

Propositoin 2.5

The underlying map $\bar{f} : U \rightarrow V$ is continuous.

Proof.

Take any open set $V' \subset V$ and any point $x \in \bar{f}^{-1}(V')$. Then $f_x \in \mathcal{C}_x(V')$. So there exists an open neighborhood $U' \subset U$ of x such that $f|_{U'} \in \mathcal{C}(U', V')$. Namely, $x \in U' \subset \bar{f}^{-1}(V')$. □

Remark 2.6

Moreover, \bar{f} is local homeomorphism because \mathcal{C}^* is a groupoid.

Definition 2.7

A pseudogroup sheaf \mathcal{C} on X is *concrete* if the functor $\mathcal{C} \rightarrow \text{Homeo}_X^!$; $f \mapsto \bar{f}$ is faithful.

Let \mathcal{C} be a concrete pseudogroup on X . Then the subcategory of invertible morphisms of \mathcal{C} is a *pseudogroup* in a classical sense.

Conversely, we can obtain a concrete pseudogroup in the new sense from any pseudogroup in the classical sense, by “sheafification”.

Let $s : E_{\mathcal{C}} \rightarrow X$ be an étale space associated with the pre-sheaf $\mathcal{C}(-, X)$.
Namely, $E_{\mathcal{C}} = \coprod_{x \in X} \mathcal{C}_x(X) \cong \coprod_{x, y \in X} \mathcal{C}_x^y$ and $s(f_x) = x$ for $f_x \in \mathcal{C}_x^y$.

In other words, $E_{\mathcal{C}} = \text{Mor}(\mathcal{C}^*)$ is a set of morphisms of \mathcal{C}^* , and s is a source map of \mathcal{C}^* .

Propositoin 2.8

\mathcal{C}^* is a topological étale groupoid.

Proof.

We have to show that

- the target map $t : E_C \rightarrow X$

is a local homeomorphism, and that

- the identities map $i : X \rightarrow E_C$,
- the inversion map $inv : E_C \rightarrow E_C$, and
- the composition map $comp : E_C \times_X E_C \rightarrow E_C$

are continuous.

$t = s \circ inv$ and $inv \circ inv = id$, so We have to proof that i , inv , and $comp$ are continuous.

$i(x) = (id_X)_x$, so i is continuous.

In the similar proof of the Prop 2.5, inv and $comp$ are continuous. □

Theorem 2.9 (Y.)

Let X be a T_1 space. There exists one-to-one correspondence between topological étale groupoids over X and pseudogroup sheaves on X .

§3 sheafification

Recall the sheafification of a presheaf. Let \mathcal{F} be a presheaf, and let $S_x\mathcal{F}$ be the skyscraper sheaf supported at x with valued \mathcal{F}_x , where \mathcal{F}_x is the stalk of \mathcal{F} at x .

Let $\mathcal{F}^\# \stackrel{\text{def}}{=} \prod_x S_x\mathcal{F}$. Now, we define $\hat{\mathcal{F}}$ as the minimum subsheaf of $\mathcal{F}^\#$ including $\text{Im}[\mathcal{F} \rightarrow \mathcal{F}^\#]$. $\mathcal{F} \rightarrow \hat{\mathcal{F}}$ is the sheafification.

Definition 3.1

Let \mathcal{C}, \mathcal{D} be pre-pseudogroups on X . A *morphism* $f : \mathcal{C} \rightarrow \mathcal{D}$ is a functor $f : \mathcal{C} \rightarrow \mathcal{D}$ preserving X_{top} . i.e. The following diagram is commutative:

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{f} & \mathcal{D} \\ \uparrow & & \uparrow \\ X_{top} & \xlongequal{\quad} & X_{top} \end{array}$$

Let \mathcal{C} be a pre-pseudogroup on X , and let $\mathcal{C}^\#(U, V) \stackrel{\text{def}}{=} \mathcal{C}(U, V)^\#$ for each open sets $U, V \in X_{top}$.

The composition map $\mathcal{C}(V, W) \times \mathcal{C}(U, V) \rightarrow \mathcal{C}(U, W)$ induces $\mathcal{C}^\#(V, W) \times \mathcal{C}^\#(U, V) \rightarrow \mathcal{C}^\#(U, W)$.

$\mathcal{C}^\#$ is a pseudogroup sheaf on X .

Theorem 3.2 (Y.)

Let X be a T_1 space, and let \mathcal{C} be a pre-pseudogroup on X . There exists a pseudogroup sheaf $\hat{\mathcal{C}}$ on X and a morphism $\mathcal{C} \rightarrow \hat{\mathcal{C}}$ satisfying universality: For any pseudogroup sheaf \mathcal{D} on X and a morphism $\mathcal{C} \rightarrow \mathcal{D}$, there exists an unique morphism $\hat{\mathcal{C}} \rightarrow \mathcal{D}$ such that the following diagram is commutative;

$$\begin{array}{ccc} \mathcal{C} & \longrightarrow & \hat{\mathcal{C}} \\ & \searrow & \downarrow \\ & & \mathcal{D} \end{array}$$

Proof.

Let $\hat{\mathcal{C}}$ be the minimum sub-pseudogroup sheaf of $\mathcal{C}^\#$ including $\text{Im}[\mathcal{C} \rightarrow \mathcal{C}^\#]$. $\mathcal{C} \rightarrow \hat{\mathcal{C}}$ satisfies the above property. □

Propositoin 3.3

$\hat{\mathcal{C}}(-, V)$ is the sheafification of $\mathcal{C}(-, V)$ for any $V \in X_{top}$.

Proof.

By stalk-wise discussion. □

Remark 3.4

These proves depend on the following two properties:

- each functor $(-)\times A$ preserves any coproduct
- a morphism $f : \mathcal{F} \rightarrow \mathcal{G}$ between sheaves is an isomorphism if and only if all of the induced map $f_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$ between stalks are isomorphisms

The first property holds in any **Cartesian closed category**.

The second property is known as *to have enough points* in *Topos Theory*.

From this property, a lot of **stalk-wise discussion** can be justified. This property is not necessary for Theorem 3.2 to hold.

§4 enriched version

Let \mathcal{E} be a complete and cocomplete category.

$\longrightarrow \mathcal{E}$ is a Cartesian monoidal category.

Recall, roughly speaking, a *\mathcal{E} -enriched category* is a category with \mathcal{E} -valued Hom functor.

\mathcal{E} has an initial object \emptyset and a terminal object $*$.

So, we can regard X_{top} as \mathcal{E} -enriched category. Directly, we define it in the following:

$$X_{top}(U, V) \stackrel{\text{def}}{=} \begin{cases} * & (U \subset V) \\ \emptyset & (U \not\subset V) \end{cases}$$

Definition 4.1

Let X be a T_1 space. An \mathcal{E} -enriched pre-pseudogroup on X is an \mathcal{E} -enriched category \mathcal{C} with an \mathcal{E} -enriched functor $X_{top} \rightarrow \mathcal{C}$ satisfying the following:

- (1) $Ob(X_{top}) = Ob(\mathcal{C})$.
- (2) $\mathcal{C}_x(V) \cong \coprod_{y \in V} \mathcal{C}_x^y$ for $V \in X_{top}$ and $x \in X$, where the canonical projections $\mathcal{C}_x^y \rightarrow \mathcal{C}_x(V)$ are identified with the canonical injections $\mathcal{C}_x^y \rightarrow \coprod_{y \in V} \mathcal{C}_x^y$.
- (4) the \mathcal{E} -enriched category \mathcal{C}^* is an \mathcal{E} -enriched groupoid.

A \mathcal{E} -enriched pseudogroup sheaf on X is a pre-pseudogroup on X satisfying the following:

- (3) the presheaf $\mathcal{C}(-, V)$ is a sheaf for each $V \in X_{top}$.

Let \mathcal{E} be a category satisfying the following:

- \mathcal{E} is complete and cocomplete.
- filtered colimits in \mathcal{E} are exact.
- *IPC-property* holds in \mathcal{E} .

→ Any \mathcal{E} -valued presheaf has a sheafification.

(cf. Kashiwara-Schapira, “Categories and Sheaves”)

And suppose that the properties of Remark 3.4 hold in \mathcal{E} .

→ Any \mathcal{E} -enriched pre-pseudogroup has a sheafification.

Example 4.2

Set, the category of sets, satisfies the all above properties.

A **Set**-enriched pre-pseudogroup is just a pre-pseudogroup.

Example 4.3

Let G be a group.

G -**Set**, the category of sets with G -action, satisfies the all above properties.

So, any G -**Set**-enriched pre-pseudogroup has a sheafification.

Example 4.4

Let \mathcal{S} be a small category.

Then, $PSh(\mathcal{S})$, the category of presheaves on \mathcal{S} , satisfies the all above properties.

In particular, **SSet**, the category of simplicial sets, satisfies the all above properties.

Example 4.5

Let \mathcal{S} be a small site (i.e. a small category with a grothendieck topology).

And suppose that all of the coverings are finite.

Then, $Sh(\mathcal{S})$, the category of sheaves on \mathcal{S} , satisfies the all above properties.